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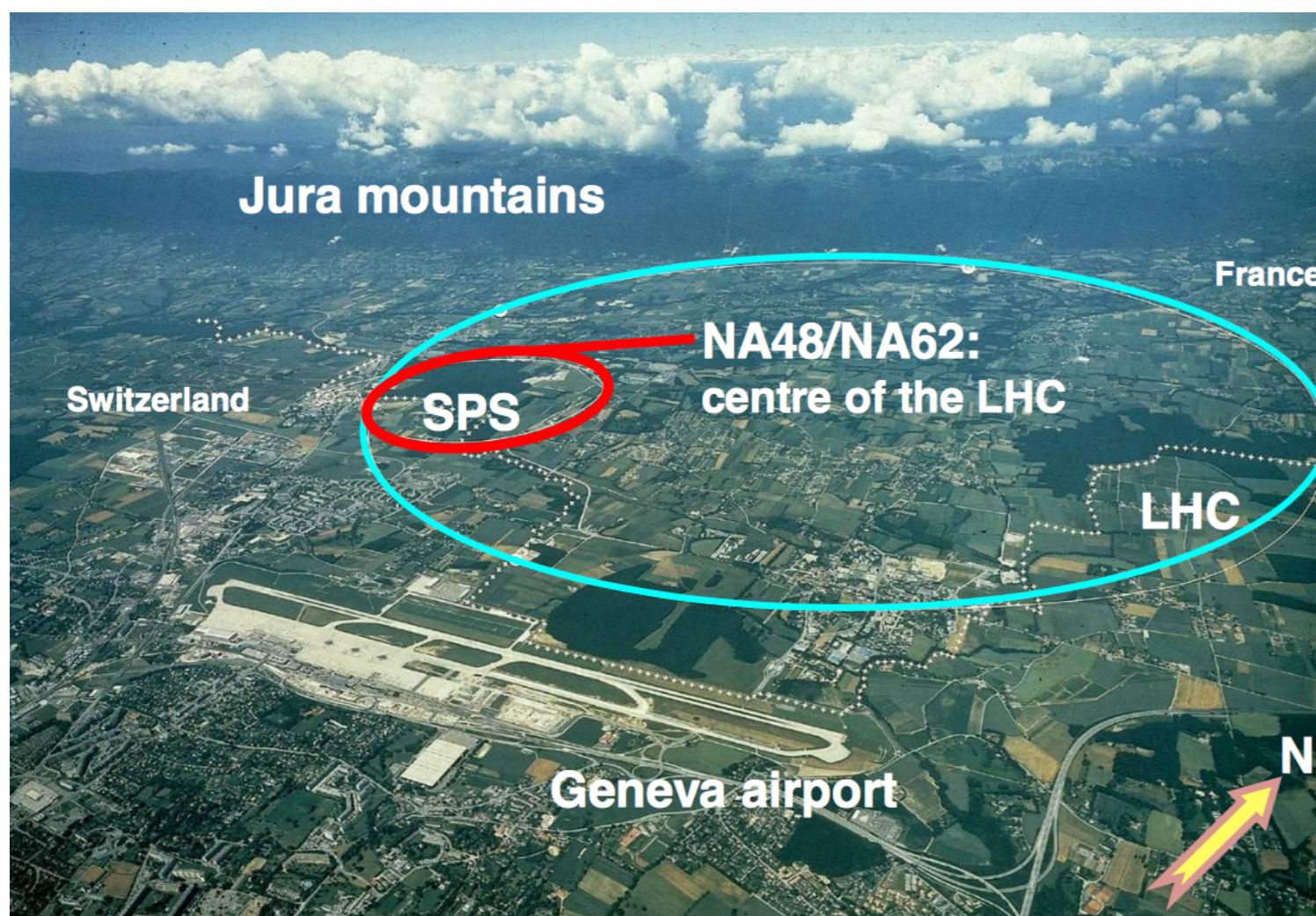
THE NA62 EXPERIMENT AT CERN: STATUS AND RECENT RESULTS

THE NA62 COLLABORATION



~30 institutes, ~200 participants from:

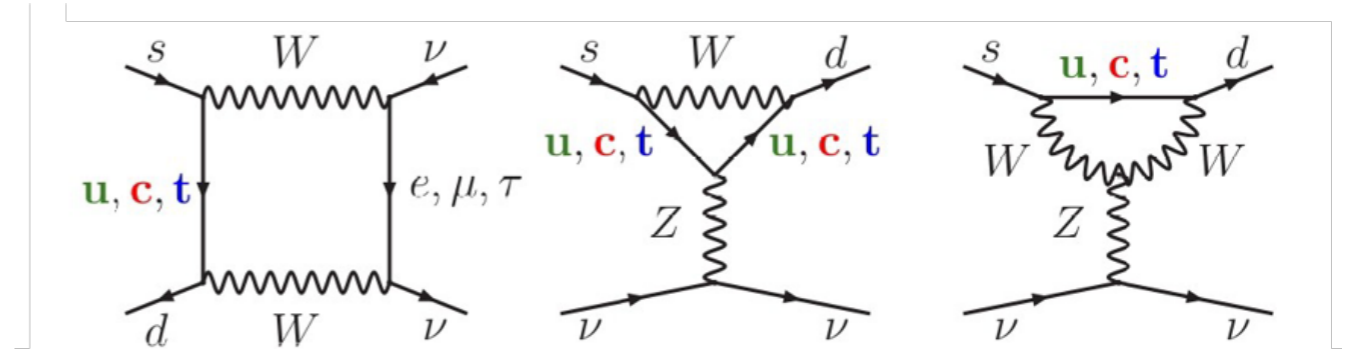
Birmingham, Bratislava, Bristol, Bucharest, CERN, Dubna, Fairfax, Ferrara, Firenze, Frascati, Glasgow, Liverpool, Louvain, Mainz, Merced, Moscow, Napoli, Perugia, Pisa, Prague, Protvino, Roma I, Roma II, San Luis Potosi, Sofia, Torino, TRIUMF, Vancouver UBC



NA62 experiment is located at north area (NA) of CERN. Protons are extracted from the SPS with $p=400$ GeV/c producing a secondary beam of hadrons (~6% are kaons).

Main goal is to measure the $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ branching fraction with high precision

MOTIVATION



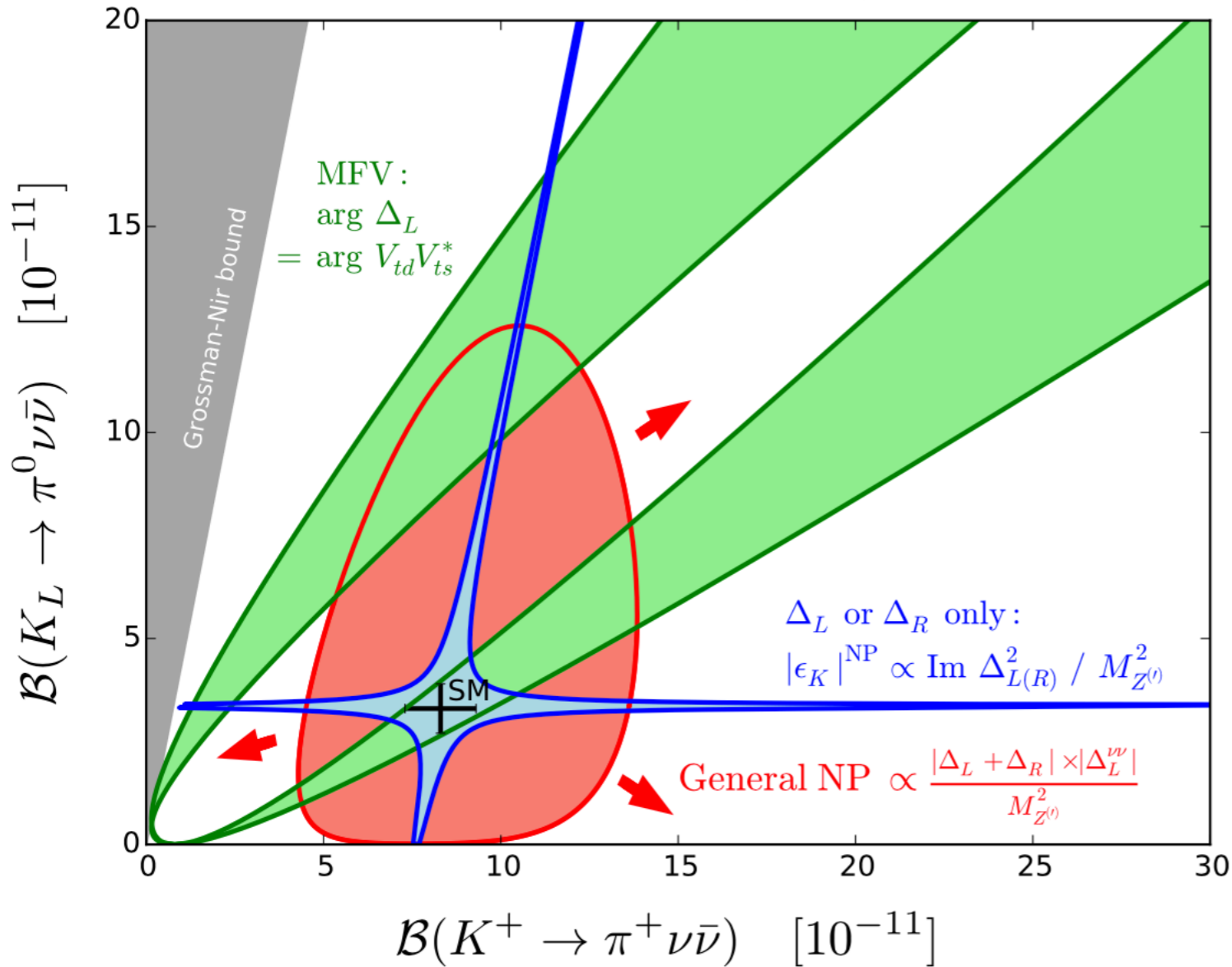
- ▶ Ultra rare kaon decay with very clean theoretical prediction within the SM framework: $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (9.11 \pm 0.72) \times 10^{-11}$
Buras et al., JHEP 1511 (2015) 033
- ▶ The only experimental measurement from E787/E949 has large uncertainty: PRL101 (2008) 191802

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$$

- ▶ Sensitive to new physics effects... (see next slide)

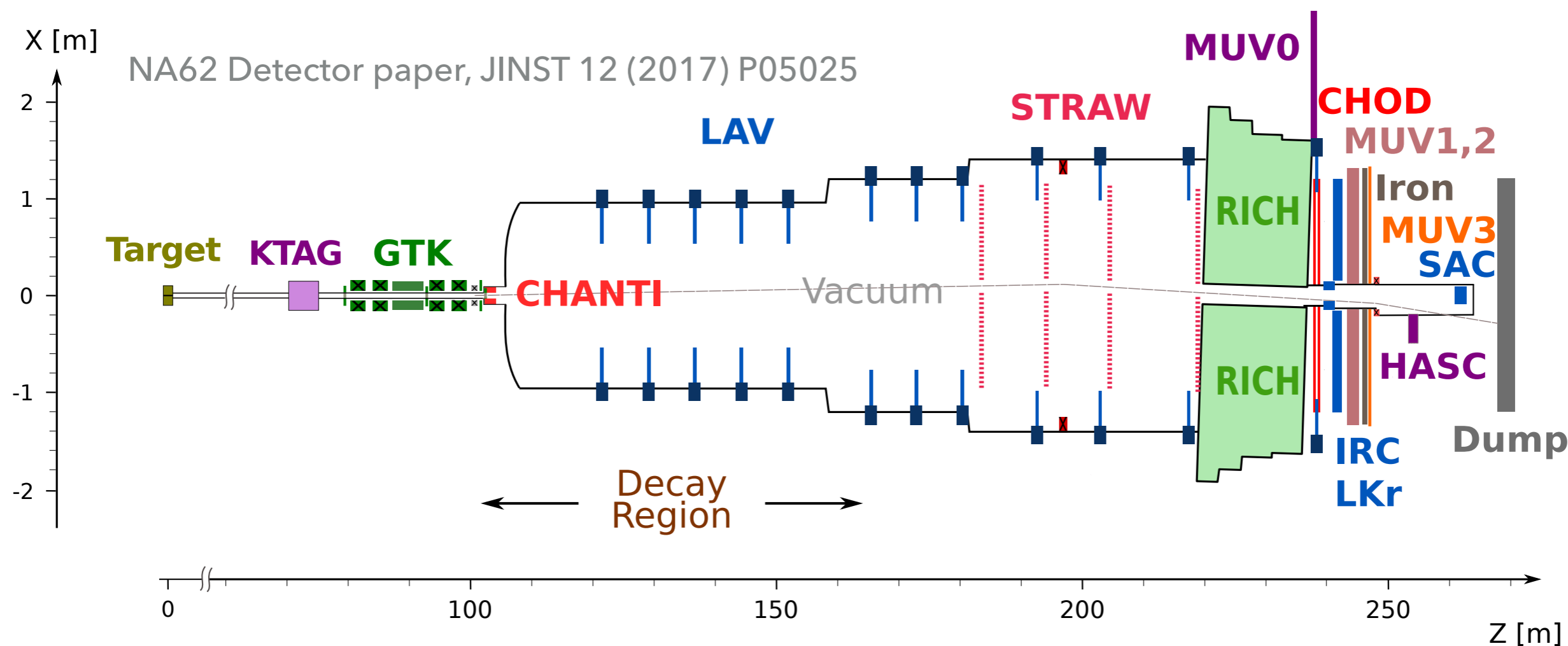
BEYOND THE SM

Buras et al., JHEP 1511 (2015) 166



- Models with general LH and RH NP couplings
- Models obeying CMFV
- Constraint from ϵ_K if only RH or LH couplings are present

THE NA62 DETECTOR



- Kaon ID and direction (KTAG, GTK, CHANTI)
- Pion ID and direction (STRAW, CHOD, RICH)
- Photon veto (LAV, LKr, IRC, SAC)
- Muon veto (MUV1,2,3)

Secondary beam

- Momentum 75 GeV/c
- Composition: K^+ (6%), π^+ (70%), p (24%)

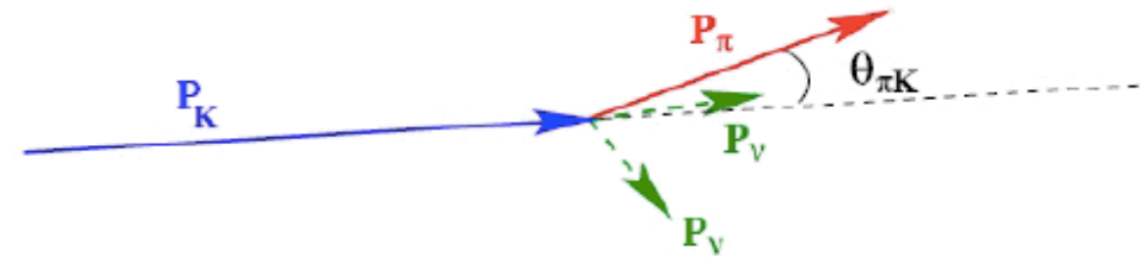
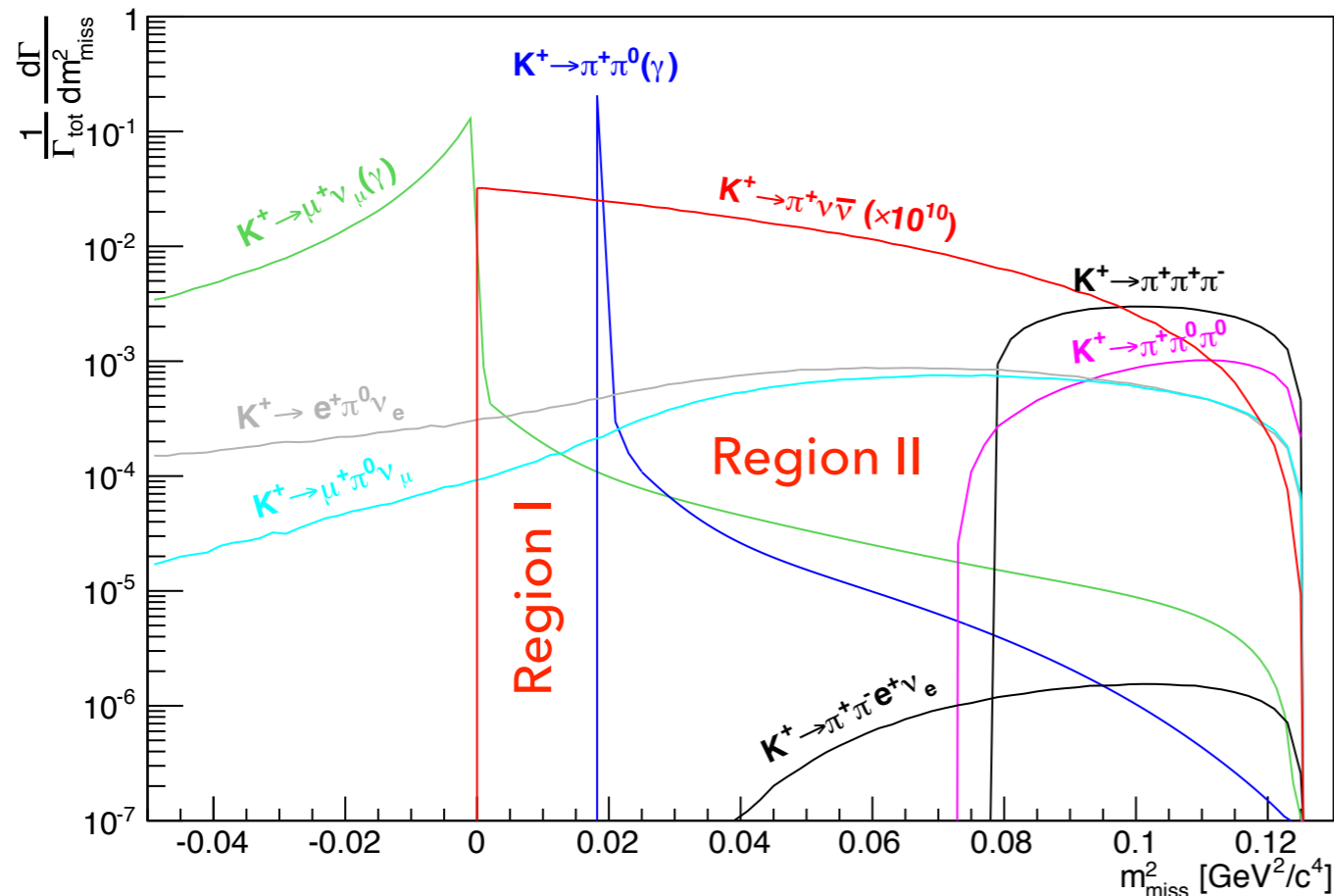
DATA COLLECTION

- ▶ 2015: minimum bias ($\sim 1\%$ intensity) and test data: most systems commissioned and meet the design requirement
- ▶ 2016: 3 May - 14 Nov. ($\sim 40\%$ of nominal intensity).
Focused on the main decay mode $K^+ \rightarrow \pi^+ \nu \nu$, but can be used also for other rare/forbidden decays: $K^+ \rightarrow \pi l l$ ($l=e, \mu$), $\pi^0 \rightarrow \nu \nu$, $K^+ \rightarrow l^+ N$, $K^+ \rightarrow \pi^+ A', \dots$
- ▶ 2017: May - Oct. ($\sim 50\%$ of nominal intensity).
- ▶ 2018: data taking approved

THE STRATEGY

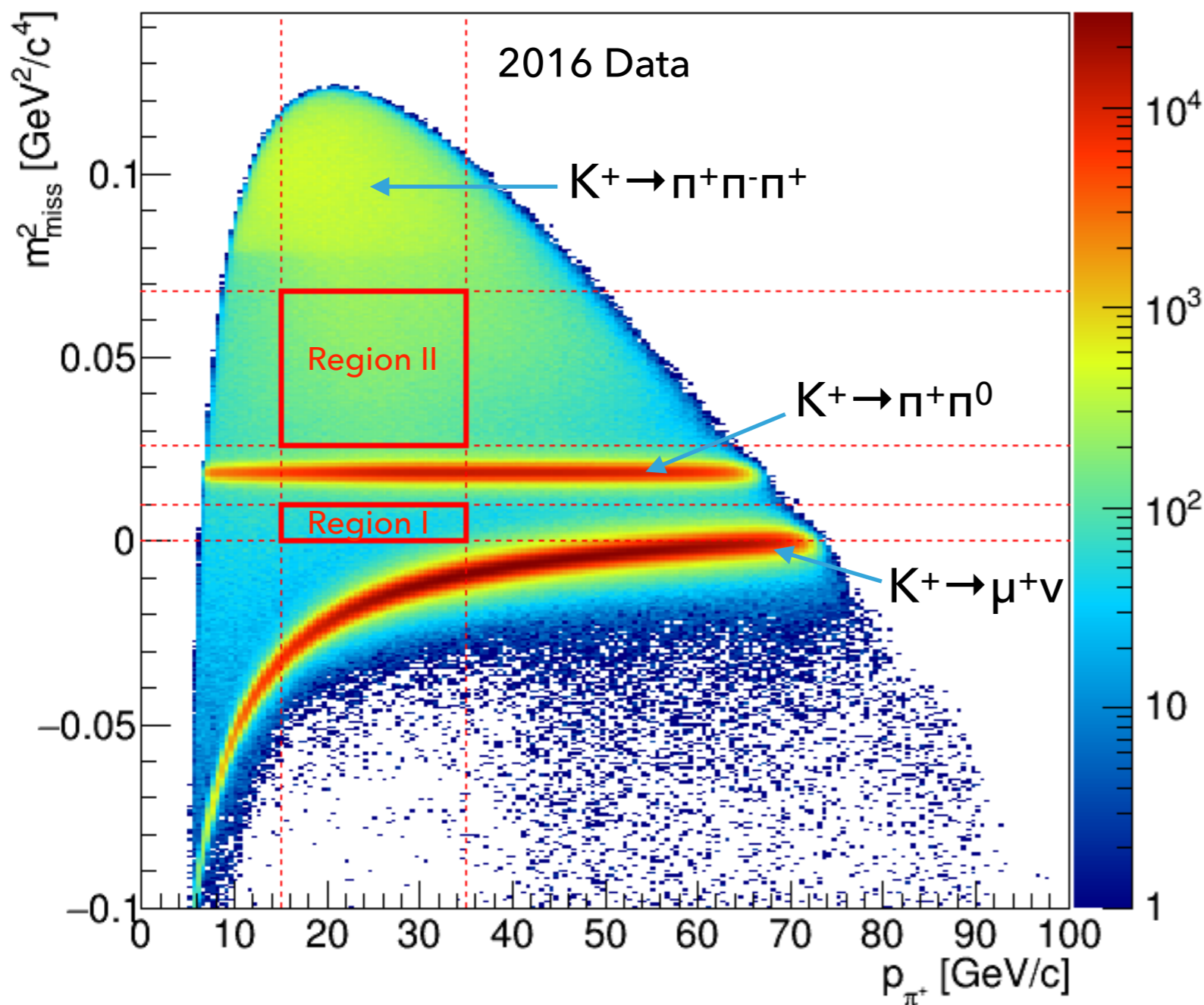
NA62 is expected to collect $O(100)$ SM events (BR measurement with $O(10\%)$ precision) in three years of data taking \Rightarrow must have order of 10^{12} background rejection:

- Isolate signal decays based on missing mass (high rejection by kinematics)
- Use veto to reject other background



$$M_{miss}^2 = (P_K - P_\pi)^2$$

SIGNAL REGIONS



- Design kinematical resolution on m_{miss}^2 has been achieved (10^{-3} GeV²/c⁴)
- Measured kinematical background suppression: 6×10^{-4} ($K^+ \rightarrow \pi^+ \pi^0$), 3×10^{-4} ($K^+ \rightarrow \mu^+ \nu$)
- Further background suppression:
 - PID (calorimeters/cherenkov detectors): μ suppression $< 10^{-7}$
 - Hermetic photon veto: $\pi^0 \rightarrow \gamma\gamma$ suppression $< 10^{-7}$

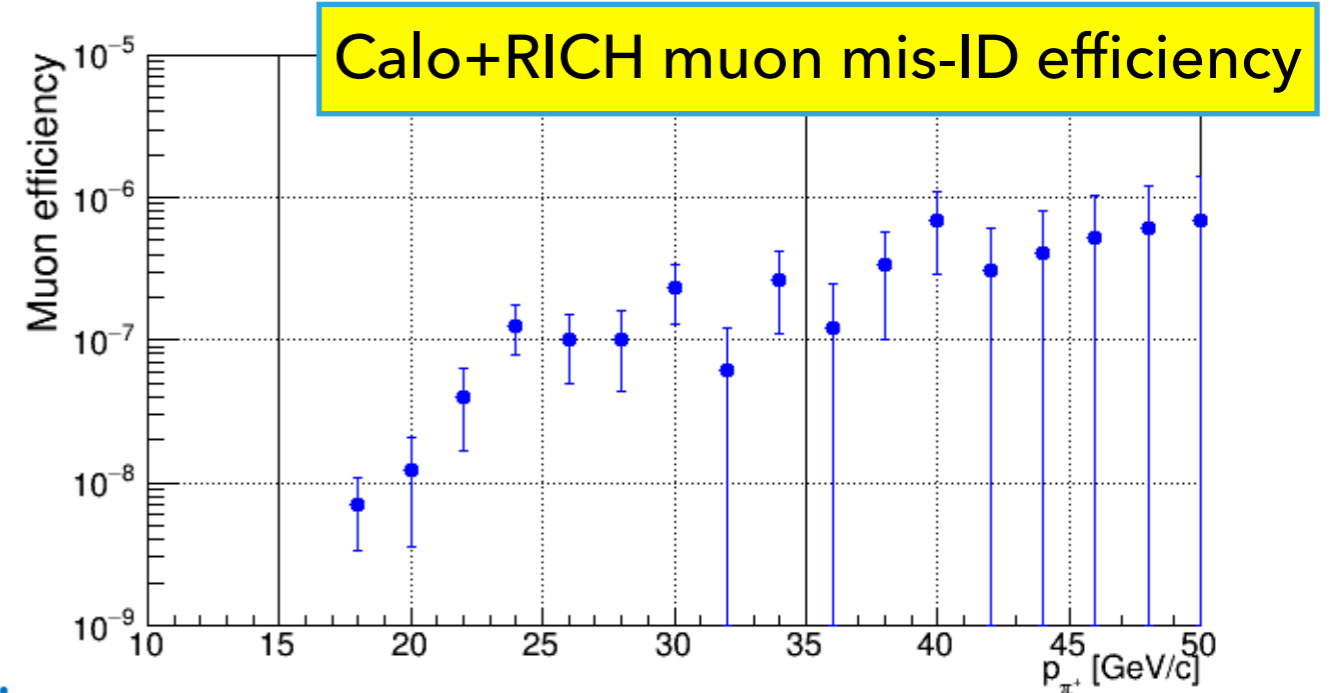
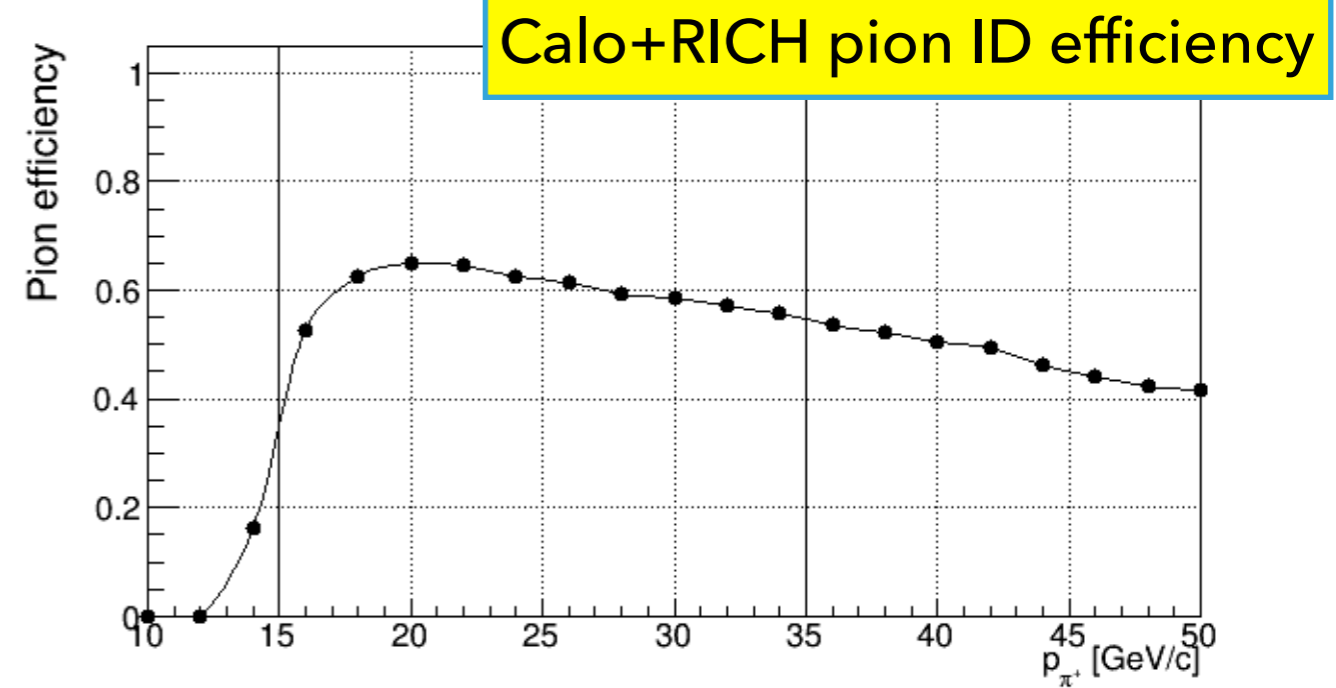
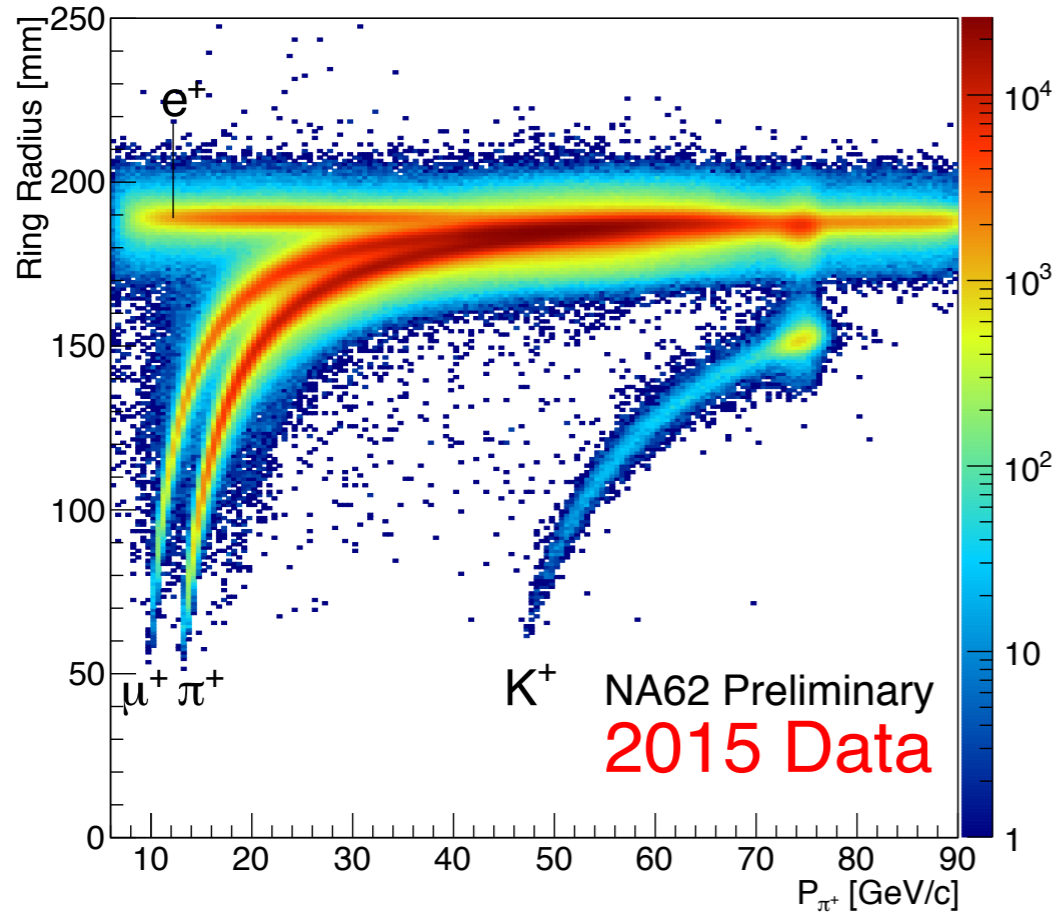
Analysis done in 3D space:

$m_{\text{miss}}^2, p_{\pi^+}$

$m_{\text{miss}}^2(\text{RICH}) \quad p_{\pi^+} \text{ RICH} (m_{\pi^+})$

$m_{\text{miss}}^2(\text{No GTK}) \quad p_{K^+} \text{ nominal}$

PARTICLE ID: PION - MUON SEPARATION

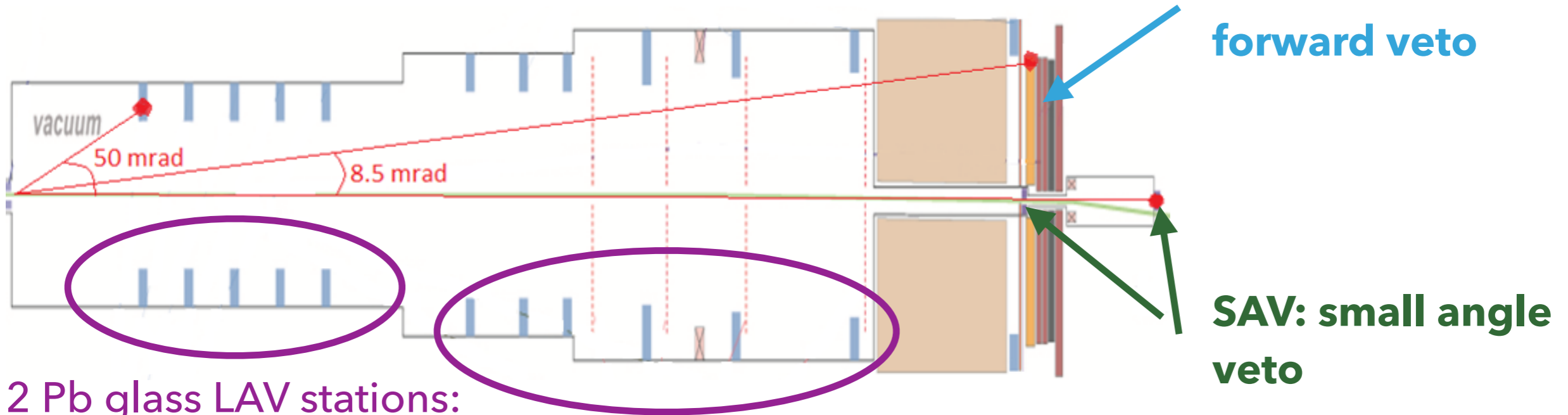


Two independent PID measurements:

- 1) with calorimeters & muon detector:
MVA technique used; $\epsilon_{\mu} \div \epsilon_{\pi} = 10^{-5} \div 80\%$,
- 2) with RICH: $\epsilon_{\mu} \div \epsilon_{\pi} = 10^{-2} \div 80\%$
in the signal momentum region.

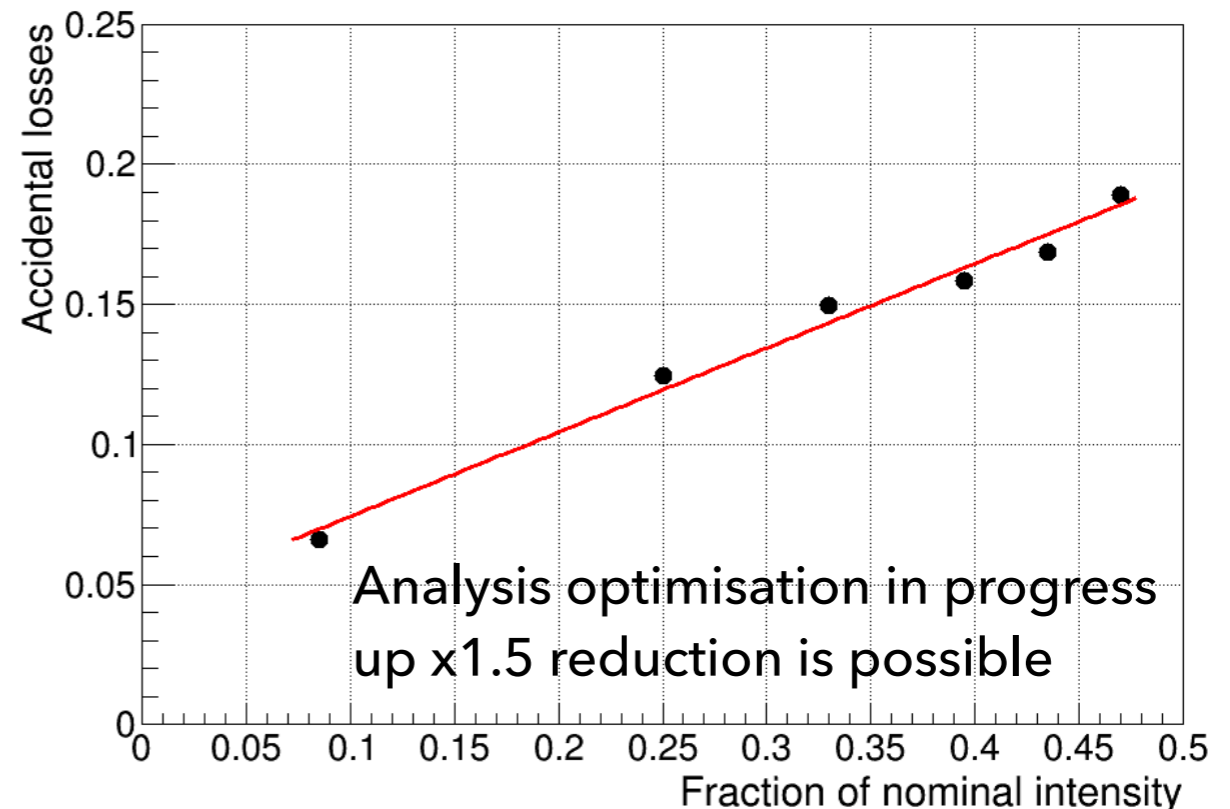
Performance measured with $K^+ \rightarrow \pi^+ \pi^0$, $K^+ \rightarrow \mu^+ \nu$.

PHOTON VETO



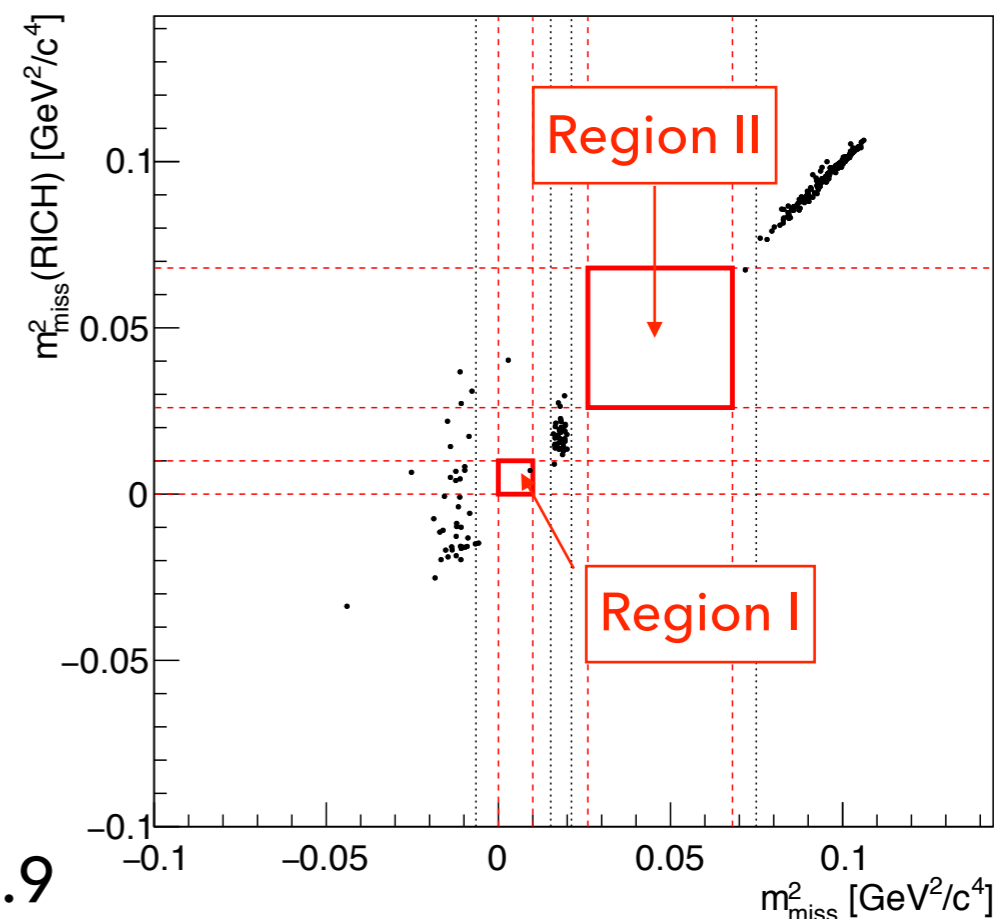
12 Pb glass LAV stations:
hermetic up 50 mrad

- ❖ Technique: EM calorimetry exploiting correlations between photons from $\pi^0 \rightarrow \gamma\gamma$ decays.
- ❖ Signal region: $p(\pi^+) < 35 \text{ GeV}/c$, therefore $p(\pi^0) > 40 \text{ GeV}/c$.
- ❖ Goal: $O(10^{-7})$ to $O(10^{-8})$ rejection of π^0 from $K^+ \rightarrow \pi^+\pi^0$ decays.
- ❖ Measured π^0 rejection factor with the $K_{\pi\nu\nu}$ selection: $\varepsilon = (1.2 \pm 0.2) \times 10^{-7}$.
Accidental loss measured with $K_{\mu 2}$:
16% at **40%** intensity, can be improved.



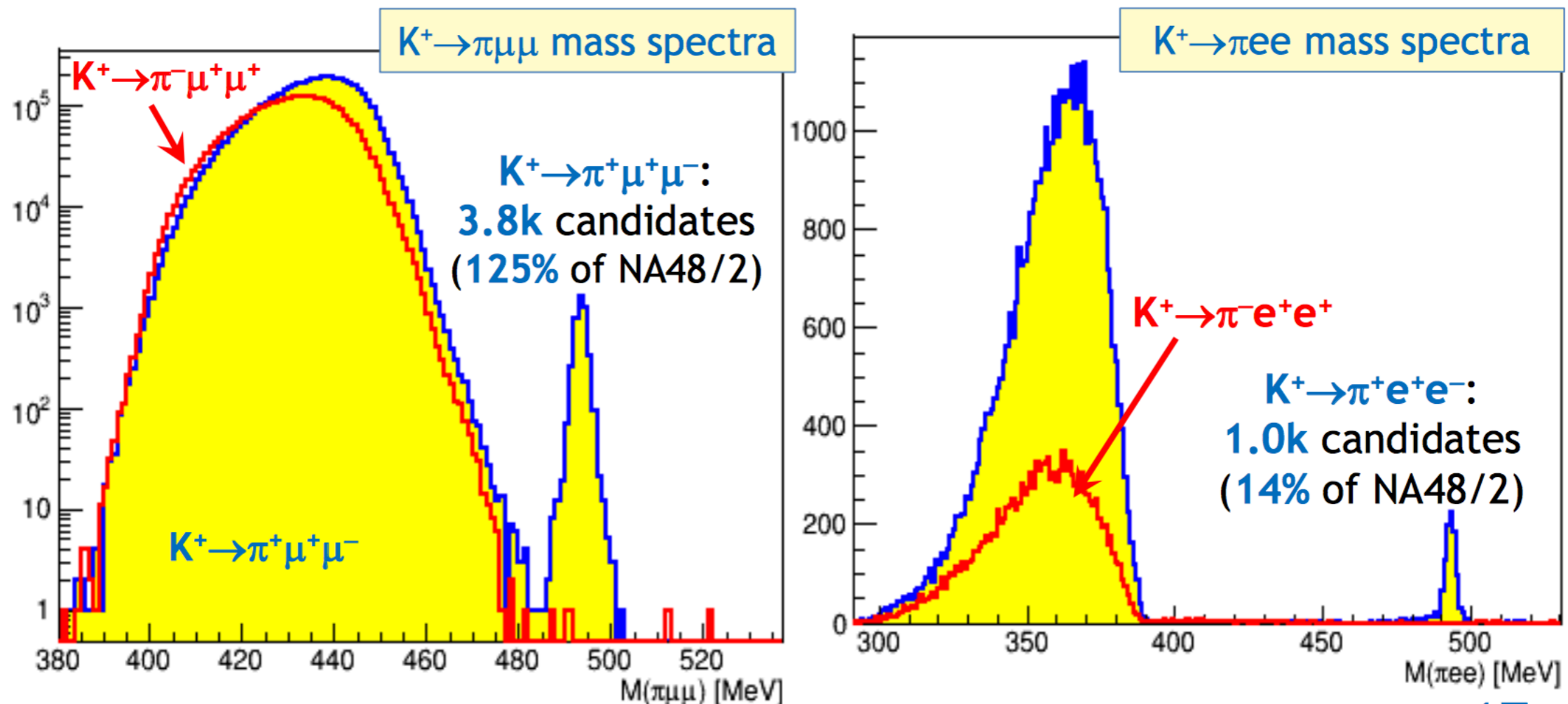
PRELIMINARY RESULTS

- ▶ 5% of 2016 data: 2.3×10^{10} kaon decays
- ▶ No events found in the signal regions
- ▶ Expect 1.3 SM events from full 2016 data set
- ▶ Preliminary statements on background: $B/S < 0.9$
- ▶ Analysis in progress to increase signal acceptance and improve background suppression



2016 DATA BEYOND THE “GOLDEN” MODE

- ▶ Dedicated triggers for 3-track decays with leptons
- ▶ Expect to improve world limits on LFV/LNV K^+ and π^0 decays



SEARCH FOR HEAVY NEUTRINO WITH 2015 DATA

WHY DO WE NEED HNL?

Neutrino
oscillation



Baryon asymmetry of
the Universe



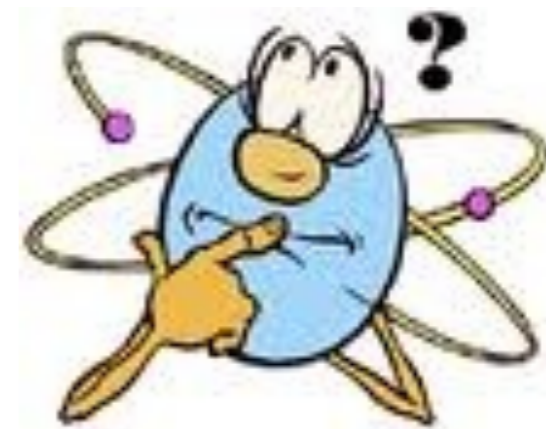
Dark matter and dark energy



ν MSM: SM + 3 right-handed neutrinos
 $m_1 \sim 10 \text{ keV}$
 $m_{2,3} \sim 100 \text{ MeV} - 100 \text{ GeV}$

T. Asaka and M. Shaposhnikov
 Phys. Lett. B620, 17 (2005).

**There is new physics beyond the Standard Model,
 but we don't know exactly what is it**



HOW TO FIND HNL?

- Meson decays ✓

Search for extra peaks in lepton distributions (momentum, energy, missing mass, ...)

$$\Gamma(M^+ \rightarrow l^+ \nu_H) = \rho \times \Gamma(M^+ \rightarrow l^+ \nu_l) \times |U_{lH}|^2$$

R.E. Shrock, Phys. Rev. D24, 1232 (1981)

- Heavy neutrino decays

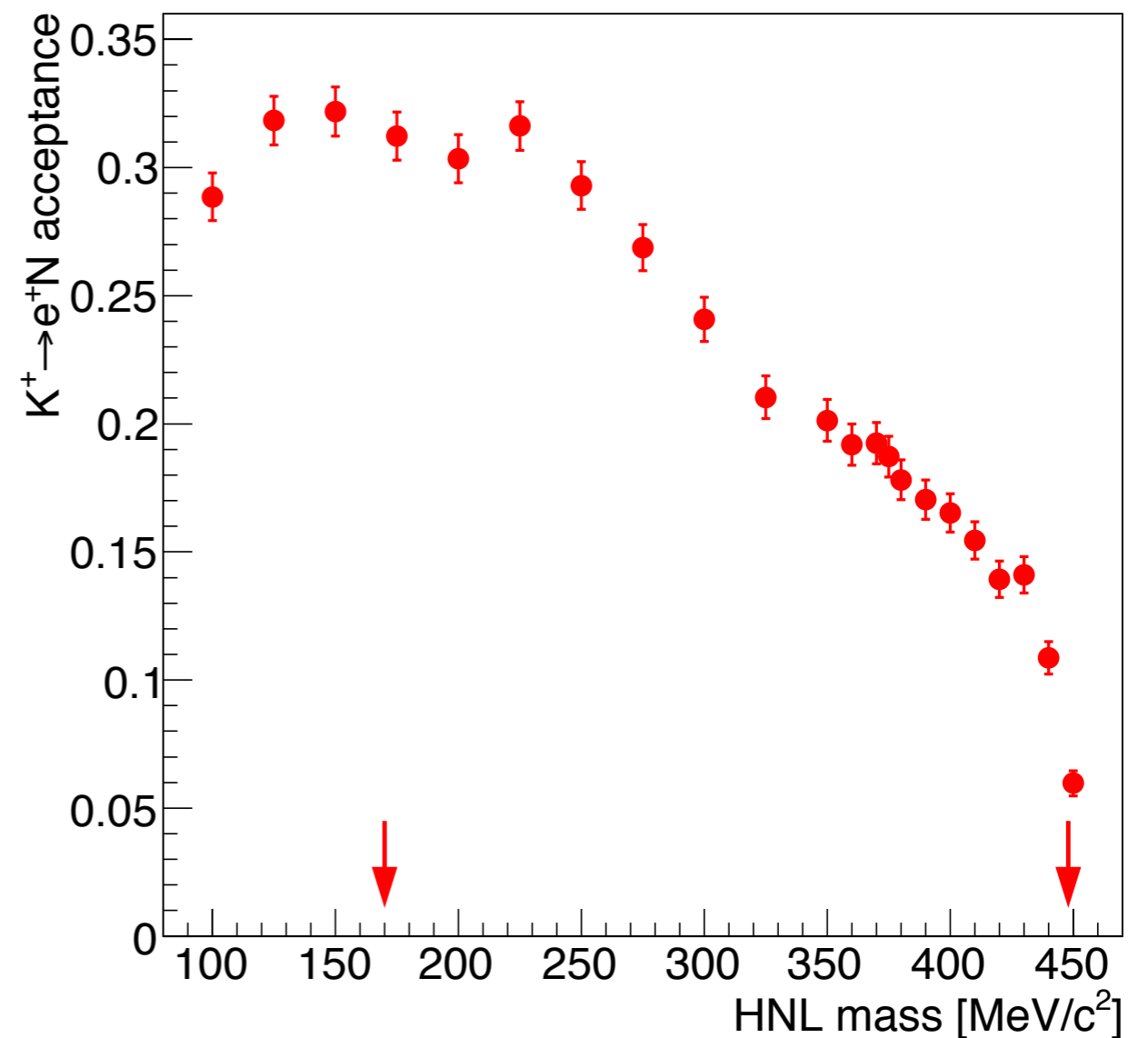
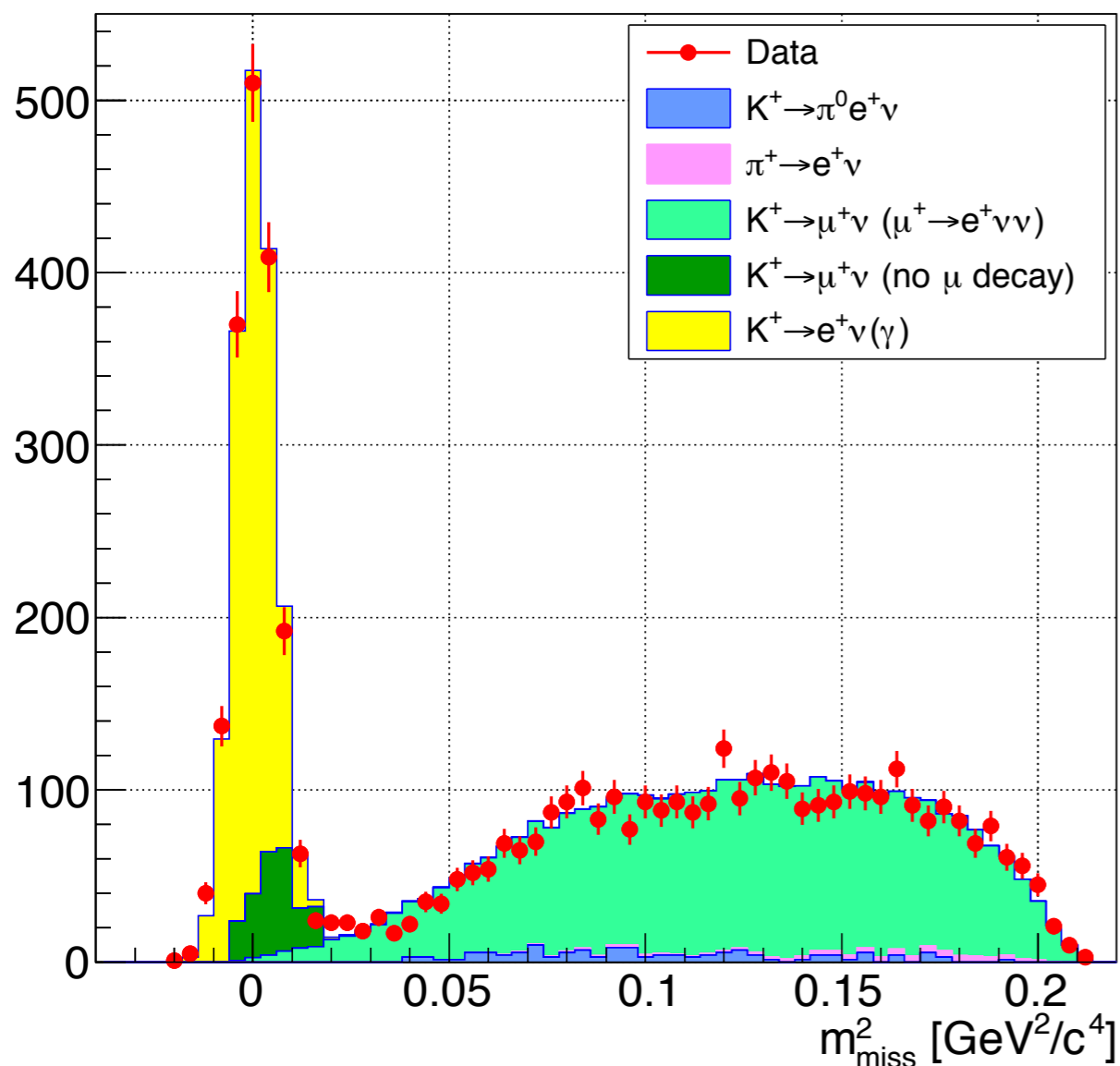
“Nothing” → leptons and hadrons

$$\nu_H \rightarrow e^+ e^- \nu_\alpha, \nu_H \rightarrow \mu^\pm e^\mp \nu_\alpha, \nu_H \rightarrow \mu^+ \mu^- \nu_\alpha,$$

$$\nu_H \rightarrow \pi^0 \nu, \pi e, \pi \mu, K e, K \mu, \dots$$

DATA SAMPLE

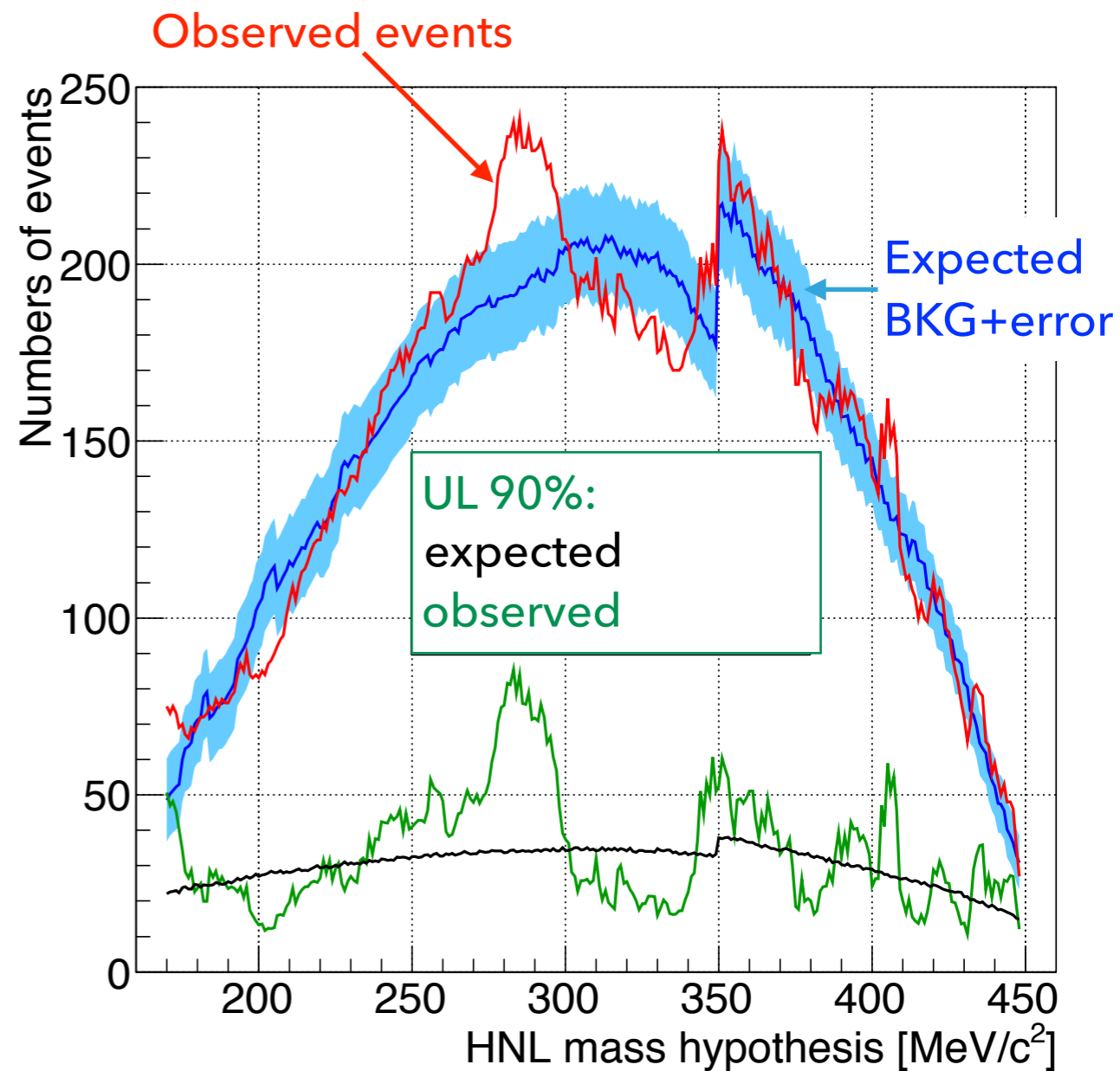
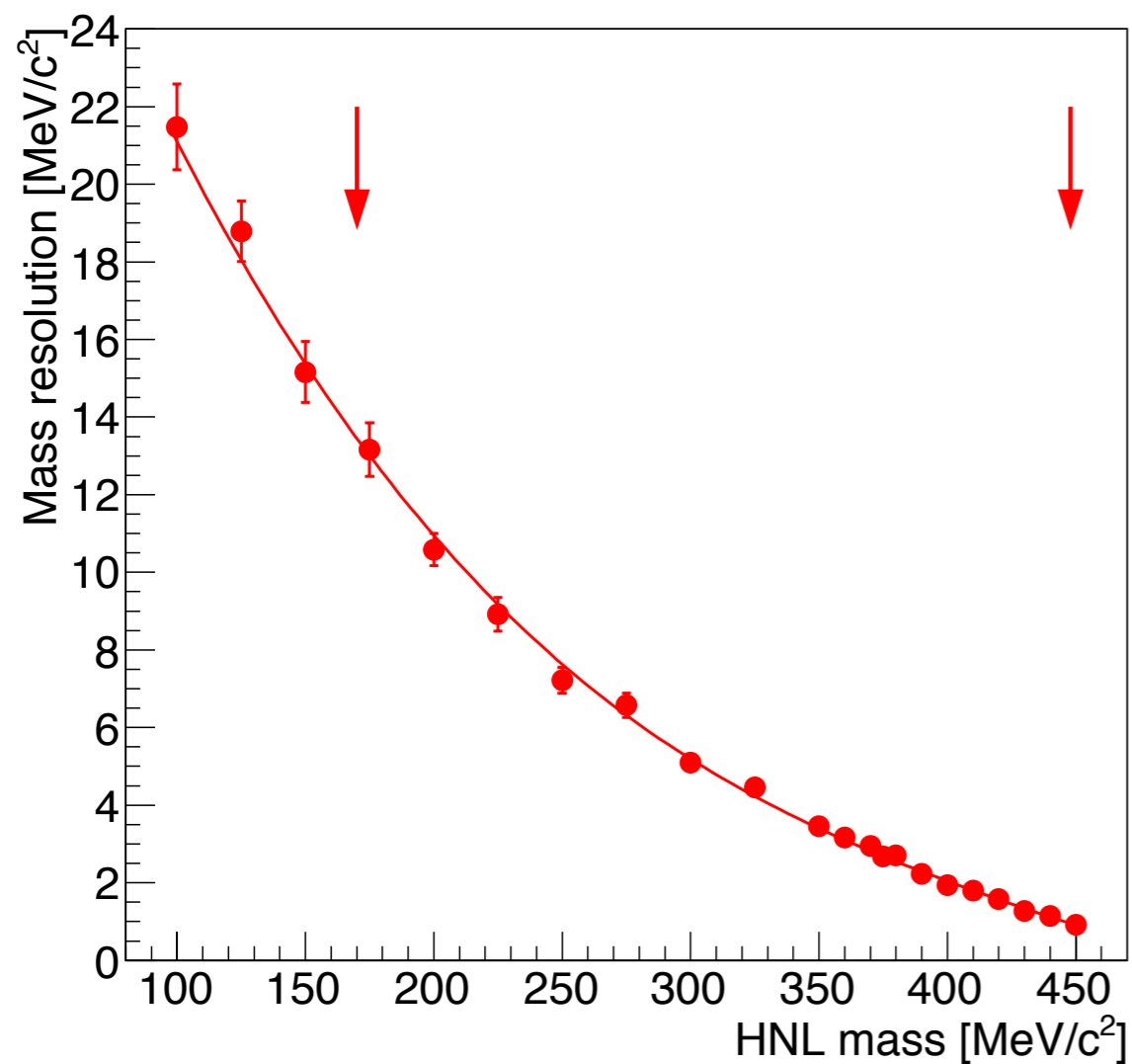
- ▶ Minimum bias ($\sim 1\%$ intensity) in 2015
- ▶ Kaon decays in FV: $(3.01 \pm 0.11) \times 10^8$
- ▶ Beam tracker is not available: kaon momentum is estimated as beam average



PEAK SEARCH

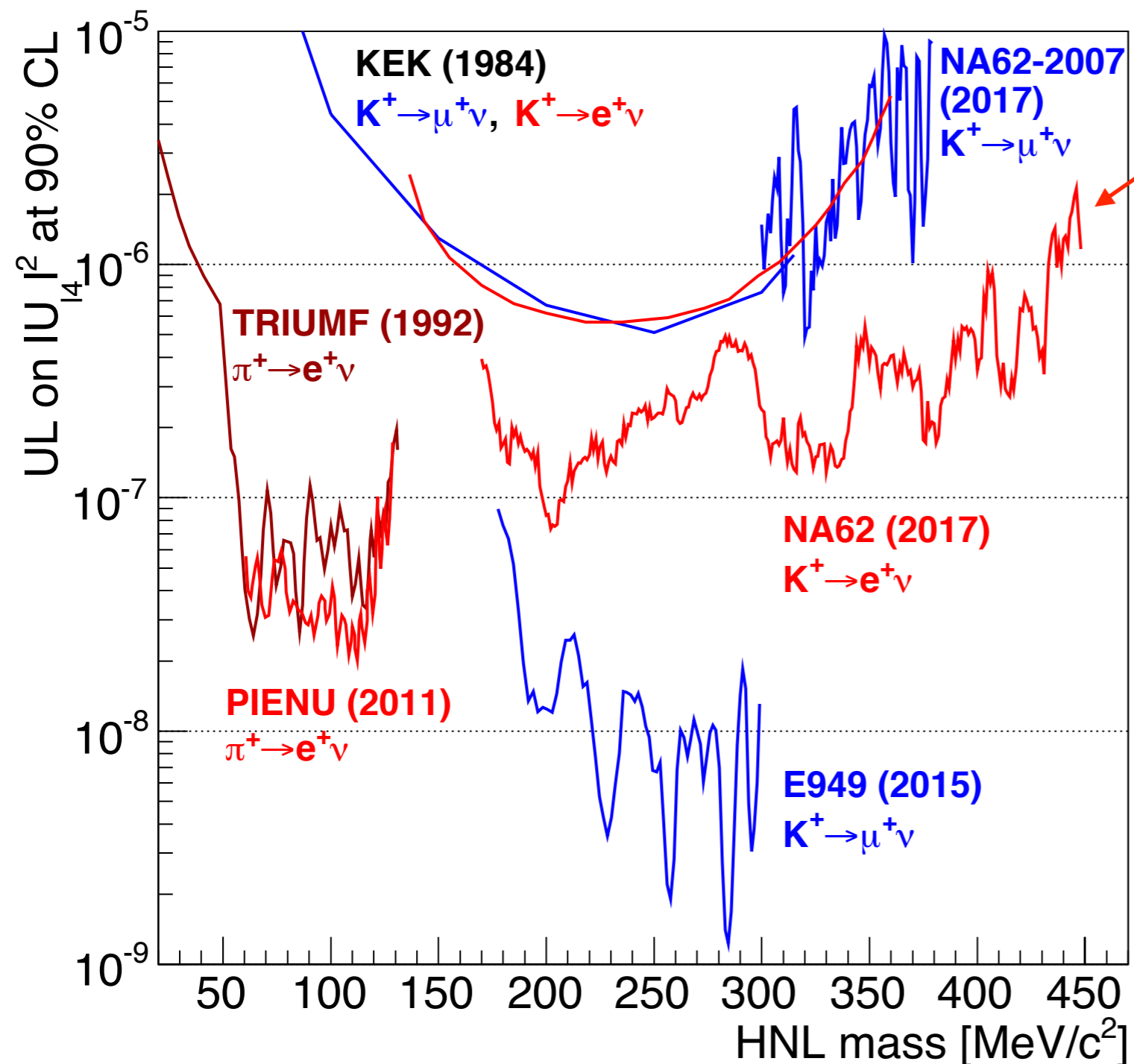
- ▶ Scan region $170 < m < 448 \text{ MeV}/c^2$, mass step = $1 \text{ MeV}/c^2$
- ▶ Signal search window for each mass hypothesis $\pm 1.5\sigma$
- ▶ Background estimate: polynomial fit outside signal window
- ▶ Background stat. errors are estimated with MC
- ▶ Upper limit for each mass is obtained from numbers of observed and expected events and their uncertainties

RESULTS



Local signal significance never exceeds 3σ : **no heavy**
neutrino signal is observed

RESULTS



New result!

- Reached 10^{-6} – 10^{-7} limits on $|U_{e4}|^2$ in the 170–448 MeV/c² mass range
- Major improvements are expected with high intensity 2016 data

NA62 BROAD PHYSICS PROGRAM

NA62 approach allows for a broad physics programme:

Signature: high momentum K^+ (75 GeV/c) \rightarrow low momentum π^+ (15–35 GeV/c).

Advantages: max detected K^+ decays/proton ($p_K/p_0 \approx 0.2$);
efficient photon veto (>40 GeV missing energy)

Un-separated beam (6% kaons) \rightarrow higher rates, additional background sources.

❖ **NA62 Run 2016–2018:** focused on the “golden mode” $K^+ \rightarrow \pi^+ \nu \nu$.

- ✓ Several measurements at nominal $SES \sim 10^{-12}$: $K^+ \rightarrow \pi^+ A'$, $\pi^0 \rightarrow \nu \nu$.
- ✓ A few measurements do not require extreme SES: $K^+ \rightarrow \ell^+ N$, ...
- ✓ Sensitivities to most rare/forbidden decays are limited but still often world-leading ($\sim 10^{-10}$ to $\sim 10^{-11}$).
- ✓ Proof of principle for a broad rare & forbidden decay programme.

NA62 BROAD PHYSICS PROGRAM

Accelerator schedule	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024
LHC		Run 2			LS2			Run 3		
SPS										NA stop

❖ NA62 Run 2021–2024:

- ✓ Existing apparatus with improved trigger logic.
- ✓ Evaluate incremental changes for optimal efficiency.
- ✓ Further $K^+ \rightarrow \pi^+ \nu \nu$ data collection.
- ✓ Rare/forbidden K^+ and π^0 decays at $SES \sim 10^{-12}$:
 - K^+ physics: $K^+ \rightarrow \pi^+ \ell^+ \ell^-$, $K^+ \rightarrow \pi^+ \gamma \ell^+ \ell^-$, $K^+ \rightarrow \ell^+ \nu \gamma$, $K^+ \rightarrow \pi^+ \gamma \gamma$, ...
 - π^0 physics: $\pi^0 \rightarrow e^+ e^-$, $\pi^0 \rightarrow e^+ e^- e^+ e^-$, $\pi^0 \rightarrow 3\gamma$, $\pi^0 \rightarrow 4\gamma$, ...
 - Searches for LFV/LNV: $K^+ \rightarrow \pi^- \ell^+ \ell^+$, $K^+ \rightarrow \pi^+ \mu e$, $\pi^0 \rightarrow \mu e$, ...
- ✓ Beam dump with $\sim 10^{18}$ POT: hidden sector (long-lived HNL, DP, ALP).

CONCLUSION

- ▶ Detector is fully operated since Sept.2016 and data is taking now @50% of nominal intensity
- ▶ $\sim 10^{11}$ kaon decays has already collected in 2016
- ▶ The $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ analysis is on-going and $O(1)$ SM events are expected from total 2016 data sample
- ▶ First physics result from 2015 minimum bias data: search for heavy neutrino production in $K^+ \rightarrow e^+ N$ decays in mass range 170–448 MeV/ c^2 : no observed signal, set upper limits at 10^{-6} – 10^{-7} level